AZ AG Contract No. KR922819TRN

AZ File: JPA 92-128

AZ Project: IM-8-1(97)/H3618 01C

CA File: #8-713

CA Project: 11-IMP-8 R96.6/R96.8

11-028471

Section: I-8 & B-8 Bridges

Construct Seismic Retrofit

TNTERGOVERNMENTAL AGREEMENT

BETWEEN

THE STATE OF ARIZONA

AND

THE STATE OF CALIFORNIA

THIS AGREEMENT is entered into Jerling, 1993, pursuant to Arizona Revised Statutes, Sections 11-951 through 11-954, as amended, between the STATE OF ARIZONA, acting by and through its DEPARTMENT OF TRANSPORTATION ("Arizona") and the STATE OF CALIFORNIA, acting by and through its DEPARTMENT OF TRANSPORTATION ("California").

I. RECITALS

- 1. Arizona is empowered by Arizona Revised Statutes Section 28-108 and 28-114 to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has delegated to the undersigned the authority to execute this agreement on behalf of the State.
- 2. California is empowered by Government Code 6500 to enter into this agreement and has authorized the undersigned to execute this agreement on behalf of the State.
- 3. For the safety and benefit of the traveling public, California and Arizona desire to participate in the construction of seismic retrofit improvements to the three (3) highway bridge structures and substructures over the Colorado River on California Route 8/Arizona Route 8 near Yuma, Arizona, at an estimated cost of \$1,332,240.00, hereinafter referred to as the Project.
- 4. The parties hereto agree that California will be the lead agency in the Project.

THEREFORE, in consideration of the mutual agreements expressed herein, it is agreed as follows:

NO. 18002 FILED WITH SECRETARY OF STATE

Date Filed 09/14/93

(Cichard) Lahonag

Secretary of State

By Vicky V. Craenewold

II. SCOPE OF WORK

1. California will:

- a. In accordance with with that certain agreement known as Arizona AG Contract No. KR911724TRD and filed under Arizona Secretary of State No. 16072 dated 1 October 1991 (Exhibit C hereto), provide design plans, specifications, and such other data, documents and services necessary for construction bidding and construction of the Project. Incorporate Arizona's review comments.
- b. Call for bids and, if within ten percent (10%) of the construction estimates (Exhibit B hereto), award one or more construction contracts for the Project. (If the low acceptable bid is ten percent (10%) over the construction estimates, obtain the written concurrence of Arizona prior to award.) Administer same and make all payments to the contractor(s). Obtain the written concurrence of Arizona on any contractor(s) modifications. Be responsible for any contractor claims for extra compensation due to delays or whatever reason attributable to California.
- c. Be responsible for fifty percent (50%) of the costs of the Project construction for the Colorado River Bridge (No. 58-286), including construction engineering, estimated at \$244,000.00.
- d. Be responsible for forty percent (40%) of the costs of the Project construction for the Colorado River Viaduct (No. 58-312R and 58-312L), including construction engineering, estimated at \$337,700.00.
- e. Invoice Arizona, no more often than monthly, for Arizona's share of the cost of the Project, estimated at \$750,540.00, as necessary to amortize construction of the Project.
- f. Upon completion, approve and accept the Project on behalf of the parties hereto.

2. Arizona will:

- a. Provide California, at no cost, such data and information as is reasonably available to assist in the construction of the Project. Review the bid documents and provide concurrence to California.
- b. Be responsible for fifty percent (50%) of the costs of the Project construction for the Colorado River Bridge (No. 58-286), including construction engineering, estimated at \$244,000.00.

- c. Be responsible for sixty percent (60%) of the costs of the Project construction for the Colorado River Viaduct (No. 58-312R and 58-312L), including construction engineering, estimated at \$506,540.00.
- d. Be responsible for any contractor claims for extra compensation due to delays or whatever reason attributable to Arizona.
- e. Upon receipt and approval of monthly invoices, reimburse California as expediently as normal accounting procedures permit, but in no event exceed thirty (30) days, in an amount estimated at \$750,540.00.

III. MISCELLANEOUS PROVISIONS

- 1. Maintenance of the Project shall be accomplished in accordance with that certain intergovernmental agreement known as Arizona AG Contract No. KR87-1816 and filed under Arizona Secretary of State No. 12312 dated 26 August 1987 which is attached hereto as Exhibit A and made a part hereof.
- 2. This agreement is subject to the appropriation and availability of funds of the respective parties hereto, and shall remain in force and effect until completion of said Project and reimbursements; provided, however, that this agreement may be cancelled at any time prior to the award of a Project construction contract, upon thirty (30) days written notice to the other party.
- 3. This agreement shall become effective upon final approval by the California Department of Transportation and filing with the Arizona Secretary of State.
- 4. This agreement may be cancelled in accordance with Arizona Revised Statutes Section 38-511 as regards conflicts of interest on behalf of Arizona State employees.
- 5. The provisions of Arizona Revised Statutes Section 35-214 pertaining to audit and record retention are applicable to this contract.
- 6. California shall provide a claims process acceptable to California and Arizona, and shall process any and all claims through said process. Said claims process shall include a provision for arbitration.
- 7. All notices or demands upon any party to this agreement shall be in writing and shall be delivered in person or sent by mail addressed as follows:

Arizona Department of Transportation Joint Project Administration 205 South 17 Avenue, Mail Drop 616E Phoenix, AZ 85007

California Department of Transportation District 11, Design D P.O. Box 85406 San Diego, CA 92186-5406

Attached hereto and incorporated herein is the written determination of each party's legal counsel that the parties are authorized under the laws of their respective State to enter into this agreement and that the agreement is in proper form.

IN WITNESS WHEREOF, the parties have executed this agreement the day and year first above written.

STATE OF CALIFORNIA

Department of Transportation James Von Loben Sels, Director

District Director of

Transportation

STATE OF ARIZONA

Department of Transportation

ROBERT P. MICKELSON Deputy State Engineer

APPROVAL RECOMMENDED

Division of Structures

JAMES E. ROBERTS, Chief

APPROVED AS TO FORM

AND PROCEDURE

By

Attorney, California

Department of Transportation

CERTIFIED AS TO FUNDS AND PROCEDURE

California District

Accounting Officer

RESOLUTION

BE IT RESOLVED on this 19th day of November 1992, that I, JAMES S. CREEDON, as Acting Director of the Arizona Department of Transportation, have determined that it is in the best interests of the State of Arizona that the Department of Transportation, acting by and through the Highways Division, to enter into an agreement with the State of California for the purpose of defining responsibilities for the construction of seismic retrofit improvements to the Colorado River bridge structures (3) on I-8 and B-8 near Yuma.

Therefore, authorization is hereby granted to draft said agreement which, upon completion, shall be submitted to the Deputy State Engineer for approval and execution.

may E. Letus
for JAMES S. CREEDON

Acting Director



STATE OF ARIZONA

OFFICE OF THE ATTORNEY GENERAL

GRANT WOODS
ATTORNEY GENERAL

1275 WEST WASHINGTON, PHOENIX 85007-2926

MAIN PHONE: 542-5025

TELECOPIER: 542-4085

INTERGOVERNMENTAL AGREEMENT DETERMINATION

A. G. Contract No. KR92-2819-TRN, an agreement between public agencies, has been reviewed pursuant to A.R.S. §11-952, as amended, by the undersigned Assistant Attorney General who has determined that it is in the proper form and is within the powers and authority granted to the State of Arizona.

No opinion is expressed as to the authority of the remaining parties, other than the State or its agencies, to enter into said agreement.

DATED this day of September, 1993.

GRANT WOODS Attorney General

JAMES R. RESPATH

Assistant Attorney General

Transportation Section

IGA 87-82

Director's File No. 87180-0019 ADOT Contract No. 87-0502

INTERGOVERNMENTAL AGREEMENT

STATES OF ARIZONA AND CALIFORNIA

THIS AGREEMENT, ENTERED INTO pursuant to Arizona Revised Statutes 11-951 through 11-954, as amended, between the STATE OF ARIZONA, acting by and through its Department of Transportation, referred to herein as ARIZONA and the STATE OF CALIFORNIA, acting by and through its Department of Transportation, referred to herein as CALIFORNIA.

RECITALS

- 1. ARIZONA and CALIFORNIA mutually own and maintain six highway bridge structures over the Colorado River as follows:
 - a. On Interstate Route 40 near Topock
 - b. On Route 62 (California)/95 (Arizona) near Parker
 - c. On Interstate Route 10 near Blythe
 - d. On Winterhaven Drive/4th Avenue at Yuma
 - e. On Interstate Route 8 at Yuma (two structures)
- 2. ARIZONA and CALIFORNIA mutually desire to specify the terms and conditions under which said structures are to be repaired and maintained.
- ARIZONA is empowered by Arizona Revised Statute 28-114 to enter into this Agraement.
- 4. CALIFORNIA is empowered by Streets and Highways Code 94 and 131 to enter into this Agreement.

SECTION I

CALIFORNIA AGREES:

- 1. To conduct inspections of said bridges at regular intervals.

 ARIZONA will be notified at least two weeks in advance of such inspections in order that ARIZONA may have a representative present to participate, if desired.
- To prepare a separate Bridge Report for each individual structure and forward a copy of same to ARIZONA.

NO. 23/2

FILED WITH SECRETARY OF STATE

Date Filed 8-24-87

Date Filed

- 3. To perform maintenance and repair work on said structures as necessary in accordance with SECTION III (6) and (8) hereinunder or as mutually agreed upon in accordance with SECTION III (7) hereinunder.
- 4. To assume 1/2 of the costs of all maintenance and/or repair work performed pursuant to this Agreement.

SECTION II

ARIZONA AGREES:

1. To reimburse CALIFORNIA for 1/2 of the costs of maintenance and/or repair and any related engineering work performed pursuant to this Agraement. Said reimbursement shall be made promptly upon receipt of billing therefore.

SECTION III

IT IS MUTUALLY AGREED:

- 1. All obligations of ARIZONA under the terms of this Agreement are subject to the appropriation and allocation of resources by the Arizona Legislature and/or the State Transportation Board.
- 2. All obligations of CALIFORNIA under the terms of this Agraement are subject to the appropriation of resources by the California Legislature and allocation of resources by the California Transportation Commission.
- If ARIZONA advises CALIFORNIA within thirty (30) days of receipt of any Bridge Report of an objection or suggestion concerning any proposed work recommended in said report, ARIZONA and CALIFORNIA shall make a good-faith endeavor to arrive at a mutually agreeable course of action.
- 4. In the absence of timely objection or suggestion concerning work recommended in a Bridge Report, and where the estimated cost of all of said work does not exceed the sum of ten thousand dollars (\$10,000), CALIFORNIA will proceed with such work.
 - 5. Where the estimated cost of all work recommanded in a Bridge Report exceeds the sum of ten thousand dollars (\$10,000), then such work shall not be performed until ARIZONA and CALIFORNIA have agreed in writing to a mutually acceptable course of action.

Page 3

- 6. In addition to work recommended by a Bridge Report, such maintenance work as in the judgment of CALIFORNIA's representative(s) is necessary to preserve the structure in good operating condition and to prevent deterioration thereof shall be performed from time to time by CALIFORNIA's maintenance forces; provided, however, that the cost of such work on any single bridge shall not exceed the sum of three thousand dollars (\$3,000) in any one calendar month except that work not exceeding \$6,000 in any one calendar month may be performed upon written authorization by ARIZONA.
- 7. The cost of any work referred to herein shall include all direct and indirect costs (functional and administrative overhead assessment) attributable to such work, applied in accordance with CALIFORNIA's standard accounting procedures.
- 8. The parties to this Agreement shall each furnish to the other party the names, titles, addresses and business telephone numbers of those individuals functioning as that State's representatives pursuant to this Agreement and to promptly provide notification of any changes.
- 9. Nothing contained herein is intended to affect or change in any way the responsibilities of each of the parties to this Agreement for maintaining in a condition safe for the public such portions of said bridges as lie within their respective geographical limits.
- 10. This Agreement shall remain in full force and effect until terminated in writing by mutual consent or by ninety (90) days written notice by either party to this Agreement to the other.
- 11. This Agreement supersedes any agreement(s) previously entered into by the parties hereto for maintenance and/or repair of the subject bridges.
- 12. Each party, to the extent legally possible, shall hold harmless the other for any and all liability caused by its employees. In the case of joint liability each party shall have the right of contribution against the other for the other's share of liability caused by its employees.
- 13. All parties are hereby put on notice that this Agreement is subject to cancellation by the Governor of Arizona pursuant to Arizona Revised Statutes, Section 38-511.
- 14. Each party will provide written verification of their authority to enter into this agreement which documentation is attached hereto as Exhibit A and B.

- 15. The attorneys for the parties shall provide written documentation that the Agreement is in proper form and within the powers and authority granted to their clients by the law of their respective state.
- 16. This Intergovernmental Agreement shall be filed with the Arizona Secretary of State and shall not become effective until the date of said filing.
- 17. The parties agree to use arbitration, after exhausting applicable administrative reviews, to resolve disputes arising out of this Agreement where the sole relief sought is monetary damages of \$15,000 or less, exclusive of interest and costs.
- 18. Books of account, reports, files and other records relating to the contract will be open and subject to review as provided in Arizona Revised Statute Section 35-214.

	STATE OF CALIFORNIA	STATE OF ARIZONA
	Department of Transportation	Department of Transportation
	La Dembeter	a Sand
• -	SIGNATURE ·	SIGNATURE
	Leo J. Trombatore NAME PRINTED	A. J .JUDD ' NAME PRINTED
	Director of Transportation TITLE	Chief Deputy State Enginees
-	June 20 1987	July 20, 1987
	DATE	DATE

APPROVED AS TO FORM AND PROCEDURE

ATTORNEY

DEPARTMENT OF TRANSPORTATION

/01121

CERTIFIED AS TO FUNDS AND PROCEDURE

District Accounting Officer

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Director's File No. 87180-0019 ADOT Contract No. 87-0502 Attachment A

RESOLUTION

BE IT RESOLVED on this date, July 21, 1987 , I, CHARLES L. MILLER, the below undersigned Director, Department of Transportation, have determined that it is to the advantage of the State of Arizona that the Department of Transportation enter into a Intergovernmental Agreement with the State of California Transportation Department, for the purpose of establishing respective maintenance and repair responsibilities for six (6) Colorado River bridge structures.

CHARLES L. MILLER, Director Department of Transportation

1221

Director's File No. 87180-0019
Document No. ADOT 87-0502
Attachment B

RESOLUTION

BE IT RESOLVED on this date, July 26 1987, I, LEO J. TROMBATORE, the below undersigned Director, Department of Transportation, have determined that it is to the advantage of the State of California that the Department of Transportation enter into a Intergovernmental Agreement with the State of Arizona Transportation Department, for the purpose of establishing respective maintenance and repair responsibilities for six (6) Colorado River bridge structures.

LEO J. TROMBATORE, Director Department of Transportation

Director's File No. 87180-0019
ADOT Contract No. 87-0502
Attachment C

INTERGOVERNMENTAL AGREEMENT

DETERMINATION

A. G. Contract No. WRB1/8/6 which is an agreement between public agencies, has been reviewed pursuant to A.R.S. 11-952, as amended, by the undersigned Assistant Attorney General who has determined that is is in the proper form and is within the powers and authority granted to the State of Arizona

No opinion is expressed as to the authority of the remaining parties, other than the State or its agencies, to enter into said agraement.

DATED this 4 day of

BERAK. COREIN

Attorney Seperal

Assistant Attorney General Transportation Division

/01122

	CALIFORNIA/ARIZONA E	CALIFORNIA/ARIZONA BRIDGES COST ANALYSIS	
NAME OF STRUCTURE	TOTAL CONSTRUCTION COST CAL PORTION/ARIZ PORTION	TOTAL CONSTRUCTION ENGINEERING(22%)* CAL PORTION/ARIZ PORTION	TOTAL COST CAL PORTION/ARIZ PORTION
COLORADO RIVERVIADUCT	\$325,000	\$71,500	\$396,500
RT BRIDGE#	\$130,000/\$195,000	\$28,600/\$42,900	\$158,600/\$237,900
58-312R	(40%)/(60%)	(40%)/(60%)	(40%)/(60%)
COLORADO RIVERVIADUCT	\$367,000	\$80,740	\$447,740
LT.BRIDGE#	\$146,800/\$220,200	\$32,300/\$48,440	\$179,100/\$268,640
58-312L	(40%)/(60%)	(40%/60%)	(40%)/(60%)
COLORADO RIVER BRIDGE	\$400,000	\$88,000	\$488,000
(4TH AVE)	\$200,000/\$200,000	\$44,000/\$44,000	\$244,000/\$244,000
BRIDGE# 58-286	(50%)/(50%)	(50%)/50%)	(50%)/(50%)
TOTALS:	\$1,092,000	\$240,240	\$1,332,240
	\$476,800/\$615,200	\$104,900/\$135,340	\$581,700/\$750,540

*22% INCLUDES OVERHEAD

11-IMP-8 R96.6/R96.8 Agreement No. 11-0447

AZ AG No.: KR91-1724TRD ECS No.:JPA 91-76 AZ Proj. No.: N-900-696 999 SW 000 H 3125 01 D

INTERGOVERNMENTAL AGREEMENT
BETWEEN
THE STATE OF ARIZONA
AND
THE STATE OF CALIFORNIA

I. RECITALS

- Arizona is empowered by Arizona Revised Statutes Section 28-108 to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has delegated to the undersigned the authority to execute this agreement on behalf of the State.
- 2. California is empowered by Government Code 5500 to enter into this agreement and has authorized the undersigned to execute this agreement on behalf of the State.
- 3. California and Arizona desire to participate in the determination of a seismic retrofit strategy for the Colorado River Bridges on Interstate 3 and the Fourth Avenue Bridge over the Colorado River at Yuma, at an estimated cost of \$200,000, for the benefit of the motoring public. The parties hereto agree that California will be the lead agency in determining the seismic retrofit strategy.

THEREFORE, in consideration of the mutual agreements expressed herein, it is agreed as follows:

PACTULE 107 PACKER ALL COMMISSION

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II. SCOPE OF WORK

1. California will:

- a. Obtain all information required for a dynamic analysis, including a soils report, if necessary.
- b. Perform a dynamic analysis for each structure.
- c. Submit a preliminary retrofit strategy to Arizona for review. Incorporate Arizona's review comments.
- d. Prepare and approve a Project Scope Summary Report that recommends a retrofit strategy for each bridge and estimates a construction cost.
- e. Pay for fifty percent (50%) of the actual costs to determine a retrofit strategy, estimated at \$100,000.
- f. Invoice Arizona, no more often than monthly, for its fifty percent (50%) share of the actual costs to determine a retrofit strategy.
- g. Prepare a Project progress report on a schedule to coincide with invoices to Arizona, but at least monthly, to inform Arizona of the general progress of the Project.

2. Arizona will:

- a. Provide California, at no cost, such data and information as is reasonably available to assist in the retrofit strategy determination.
- b. Participate in retrofit strategy meetings.
- c. Review the preliminary retrofit strategy and provide comments.
- d. Pay for fifty percent (50%) of the actual costs to determine a retrofit strategy, estimated at \$100,000.
- e. Reimburse California within five (5) working days after receipt and approval of each invoice, or as expediently as normal accounting procedures permit, but in no case shall this period exceed 30 days.

III. MISCELLANEOUS PROVISIONS

- 1. This agreement is subject to the appropriation and availability of funds of the respective parties hereto, and shall remain in force and effect until completion of retrofit strategy determination and reimbursements; provided, however, that this agreement may be canceled at any time prior to the award of a contract to implement the strategy report, upon thirty (30) days written notice to the other party.
- 2. This agreement shall become effective upon filing with the Arizona Secretary of State, and upon final approval by the California Department of Transportation.
- 3. This agreement may be canceled in accordance with Arizona Revised Statutes Section 38-511.
- 4. The provisions of Arizona Revised Statutes Section 35-214 are applicable to this contract.
- 5. California reserves the right to perform any portion of its responsibilities under this agreement by contracting with a qualified consultant engineering firm.
- 6. California shall provide a claims process acceptable to California and Arizona, and shall process any and all claims through said process. Said claims process shall include a provision for arbitration. Any additional payments authorized as a result of the claims process will be shared equally by California and Arizona.
- 7. All notices or demands upon any party to this agreement shall be in writing and shall be delivered in person or sent by mail addressed as follows:

Arizona Department of Transportation Joint Project Administration 205 South 17 Avenue, Room 2222, Mail Drop 5162 Phoenix, AZ 35007

California Department of Transportation District 11, Design D P.O. Box 35406 San Diego, CA 32186-6506

3. California and Arizona agree to cooperate in entering into another agreement, after the retrofit strategy is determined, to address the final design, construction, and maintenance of this retrofit work.

Agreement No. 11-0447 AZ AG No.: KR91 1724 T9D

Attached hereto and incorporated herein is a copy of the written determination of each party's legal counsel that the parties are authorized under the laws of this State to enter into this agreement and that the agreement is in proper form.

IN WITNESS WHEREOF, the parties have executed this agreement the day and year first above written.

STATE OF CALIFORNIA Department of Transportation

STATE OF ARIZONA Department of Transportation

A. A. PIERCE

Interim Director of Transportation

Chief Deputy Distract Director

BY ZATPALLA ROBERT P. MICKELSON Deputy State Engineer

- :

APPROVAL RECOMMENDED

JAMES E. ROBERTS, Chief

Division of Structures

APPROVED AS TO FORM AND PROCEDURE:

Attorney, Department of Transportation

CERTIFIED AS TO FUNDS AND PROCEDURE:

District Accounting Administrator

RESOLUTION

BE IT RESOLVED on this 12th day of August 1991, that I, CHARLES E. COWAN, as Director of the Arizona Department of Transportation, have determined that it is in the best interests of the State of Arizona that the Department of Transportation, acting by and through the Highways Division, to enter into an agreement with the State of California for the purpose of defining responsibilities for the joint participation in a study to determine a strategy for seismic retrofit of the bridges over the Colorado river on I-3 and Fourth Avenue at Yuma.

Therefore, authorization is hereby granted to draft said agreements which, upon completion, shall be submitted for approval and execution by the Deputy State Engineer.

CHARLES E. COWAN

Director

OH.

Agreement No. 11-0447 AZ AG No.: KR91 1724TRD

APPROVAL OF THE CALIFORNIA ATTORNEY

I have reviewed the above referenced proposed intergovernmental agreement, between the ARIZONA DEPARTMENT OF TRANSPORTATION, HIGHWAYS DIVISION and the CALIFORNIA DEPARTMENT OF TRANSPORTATION and declare this agreement to be in proper form and within the powers and authority granted to California under the laws of the State of California.

DATED this 30th day of Amond 1991.

Attorney of California



Attorney General

1275 WEST WASHINGTON

Phoenix, Arizona 85007 HWIA AX COXXXX

INTERGOVERNMENTAL AGREEMENT

DETERMINATION

A. G. Contract No. KR91-1724TRD , an agreement between public agencies, has been reviewed pursuant to A.R.S. § 11-952, as amended, by the undersigned Assistant Attorney General who has determined that it is in the proper form and is within the powers and authority granted to the State of Arizona.

No opinion is expressed as to the authority of the remaining parties, other than the State or its agencies, to enter into said agreement.

DATED this 25 day of Leptember, 1991.

GRANT WOODS Attorney General

JAMES R. REDPATE

Assistant Attorney General

Transportation Section

7005G/102